

PCT/1304/1911720921



Europäisches  
Patentamt

European  
Patent Office

Office européen  
des brevets

Bescheinigung

Certificate

Attestation

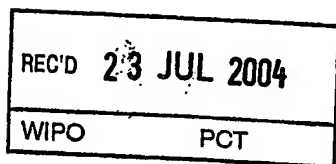
Die angehefteten Unterlagen stimmen mit der ursprünglich eingereichten Fassung der auf dem nächsten Blatt bezeichneten europäischen Patentanmeldung überein.

The attached documents are exact copies of the European patent application described on the following page, as originally filed.

Les documents fixés à cette attestation sont conformes à la version initialement déposée de la demande de brevet européen spécifiée à la page suivante.

Patentanmeldung Nr. Patent application No. Demande de brevet n°

03102225.4



**PRIORITY DOCUMENT**  
SUBMITTED OR TRANSMITTED IN  
COMPLIANCE WITH  
RULE 17.1(a) OR (b)

Der Präsident des Europäischen Patentamts;  
Im Auftrag

For the President of the European Patent Office

Le Président de l'Office européen des brevets  
p.o.

R C van Dijk

BEST AVAILABLE COPY



Anmeldung Nr:  
Application no.: 03102225.4  
Demande no:

Anmeldetag:  
Date of filing: 18.07.03  
Date de dépôt:

Anmelder/Applicant(s)/Demandeur(s):

Koninklijke Philips Electronics N.V.  
Groenewoudseweg 1  
5621 BA Eindhoven  
PAYS-BAS

Bezeichnung der Erfindung/Title of the invention/Titre de l'invention:  
(Falls die Bezeichnung der Erfindung nicht angegeben ist, siehe Beschreibung.  
If no title is shown please refer to the description.  
Si aucun titre n'est indiqué se referer à la description.)

Low bit-rate audio encoding

In Anspruch genommene Priorität(en) / Priority(ies) claimed /Priorité(s)  
revendiquée(s)  
Staat/Tag/Aktenzeichen/State/Date/File no./Pays/Date/Numéro de dépôt:

Internationale Patentklassifikation/International Patent Classification/  
Classification internationale des brevets:

G10L/

Am Anmeldetag benannte Vertragstaaten/Contracting states designated at date of  
filing/Etats contractants désignées lors du dépôt:

AT BE BG CH CY CZ DE DK EE ES FI FR GB GR HU IE IT LU MC NL  
PT RO SE SI SK TR LI

## Low bit-rate audio encoding

The present invention relates to encoding and decoding of broadband signals such as particular audio signals.

When transmitting broadband signals, e.g. audio signals such as speech, compression or encoding techniques are used to reduce the bandwidth or bit rate of the  
5 signal.

Fig. 1 shows a known parametric encoding scheme, in particular a sinusoidal encoder, which is used in the present invention, and which is described in WO 01/69593. In this encoder, an input audio signal  $x(t)$  is split into several (possibly overlapping) time segments or frames, typically of duration 20 ms each. Each segment is decomposed into  
10 transient, sinusoidal and noise components. It is also possible to derive other components of the input audio signal such as harmonic complexes, although these are not relevant for the purposes of the present invention.

In the sinusoidal analyzer 130, the signal  $x_2$  for each segment is modeled using a number of sinusoids represented by amplitude, frequency and phase parameters. This  
15 information is usually extracted for an analysis time interval by performing a Fourier transform (FT) which provides a spectral representation of the interval including: frequencies, amplitudes for each frequency, and phases for each frequency, where each phase is "wrapped", i.e. in the range  $\{-\pi; \pi\}$ . Once the sinusoidal information for a segment is estimated, a tracking algorithm is initiated. This algorithm uses a cost function to link  
20 sinusoids in different segments with each other on a segment-to-segment basis to obtain so-called tracks. The tracking algorithm thus results in sinusoidal codes  $C_s$  comprising sinusoidal tracks that start at a specific time instance, evolve for a certain duration of time over a plurality of time segments and then stop.

In such sinusoidal encoding, it is usual to transmit frequency information for  
25 the tracks formed in the encoder. This can be done in a simple manner and with relatively low costs, since tracks only have slowly varying frequency. Frequency information can therefore be transmitted efficiently by time differential encoding. In general, amplitude can also be encoded differentially over time.

In contrast to frequency, phase changes more rapidly with time. If the frequency is constant, the phase will change linearly with time, and frequency changes will result in corresponding phase deviations from the linear course. As a function of the track segment index, phase will have an approximately linear behavior. Transmission of encoded phase is therefore more complicated. However, when transmitted, phase is limited to the range  $\{-\pi; \pi\}$ , i.e. the phase is "wrapped", as provided by the Fourier transform. Because of this modulo  $2\pi$  representation of phase, the structural inter-frame relation of the phase is lost and, at first sight appears to be a random variable.

However, since the phase is the integral of the frequency, the phase is redundant and needs, in principle, not be transmitted. This is called phase continuation and reduces the bit rate significantly.

In phase continuation, only the first sinusoid of each track is transmitted in order to save bit rate. Each subsequent phase is calculated from the initial phase and frequencies of the track. Since the frequencies are quantized and not always very accurately estimated, the continuous phase will deviate from the measured phase. Experiments show that phase continuation degrades the quality of an audio signal.

Transmitting the phase for every sinusoid increases the quality of the decoded signal at the receiver end, but it also results in a significant increase in bit rate/bandwidth. Therefore, a joint frequency/phase quantizer, in which the measured phases of a sinusoidal track having values between  $-\pi$  and  $\pi$  are unwrapped using the measured frequencies and linking information, results in monotonically increasing unwrapped phases along a track. In that encoder the unwrapped phases are quantized using an Adaptive Differential Pulse Code Modulation (ADPCM) quantized and transmitted to the decoder. The decoder derives the frequencies and the phases of a sinusoidal track from the unwrapped phase trajectory.

In phase continuation, only the encoded frequency is transmitted, and the phase is recovered at the decoder from the frequency data by exploiting the integral relation between phase and frequency. It is known, however, that when phase continuation is used, the phase cannot be perfectly recovered. If frequency errors occur, e.g. due to measurement errors in the frequency or due to quantization noise, the phase, being reconstructed using the integral relation, will typically show an error having the character of drift. This is because frequency errors have an approximately random character. Low-frequency errors are amplified by integration, and consequently the recovered phase will tend to drift away from the actually measured phase. This leads to audible artifacts.

This is illustrated in Fig. 2a where  $\Omega$  and  $\psi$  are the real frequency and real phase, respectively, for a track. In both the encoder and decoder frequency and phase have an integral relationship as represented by the letter "I". The quantization process in the encoder is modeled as an added noise  $n$ . In the decoder, the recovered phase  $\hat{\psi}$  thus includes two components: the real phase  $\psi$  and a noise component  $\varepsilon_2$ , where both the spectrum of the recovered phase and the power spectral density function of the noise  $\varepsilon_2$  have a pronounced low-frequency character.

Thus, it can be seen that in phase continuation, since the recovered phase is the integral of a low-frequency signal, the recovered phase is a low-frequency signal itself. However, the noise introduced in the reconstruction process is also dominant in this low-frequency range. It is therefore difficult to separate these sources with a view to filtering the noise  $n$  introduced during encoding.

In conventional quantization methods, frequency and phase are quantized independent of each other. In general, a uniform scalar quantized is applied to the phase parameter. For perceptual reasons the lower frequencies should be quantized more accurately than the higher frequencies. Therefore the frequencies are converted to a non-uniform representation using the ERB or Bark function and then quantized uniformly, resulting in a non-uniform quantized. Also physical reasons can be found: in harmonic complexes, higher harmonic frequencies tend to have higher frequency variations than the lower frequencies.

When the frequency and phase are quantized jointly, frequency dependent quantization accuracy is not straightforward. The use of a uniform quantization approach results in a low quality sound reconstruction. Furthermore, for the high frequencies, where the quantization accuracy can be lowered, a quantized can be developed that needs less bits. For the unwrapped phases, a similar mechanism would be desirable.

The invention provides a method of encoding a broadband signal, in particular an audio signal such as a speech signal using a low bit-rate. In the sinusoidal encoder a number of sinusoids are estimated per audio segment. A sinusoid is represented by frequency, amplitude and phase. Normally, phase is quantized independent of frequency. The invention uses a frequency dependent quantization of phase, and in particular the low frequencies are quantized using smaller quantization intervals than at higher frequencies. Thus, the unwrapped phases of the lower frequencies are quantized more accurately, possibly with a smaller quantization range, than the phases of the higher frequencies. The invention gives a significant improvement in decoded signal quality, especially for low bit-rate quantizers.

The invention enables the use of joint quantization of frequency and phase while having a non-uniform frequency quantization as well. This results in the advantage of transmitting phase information with a low bit rate while still maintaining good phase accuracy and signal quality at all frequencies, in particular also at low frequencies.

5           The advantage of this method is improved phase accuracy, in particular at the lower frequencies, where a phase error corresponds to a larger time error than at higher frequencies. This is important, since the human ear is not only sensitive to frequency and phase but also to absolute timing as in transients, and the method of the invention results in improved sound quality, especially when only a small number of bits is used for quantizing  
10       the phase and frequency values. On the other hand, a required sound quality can be obtained using fewer bits. Since the low frequencies are slowly varying, the quantization range can be more limited and a more accurate quantization is obtained. Furthermore, the adaptation to a finer quantization is much faster.

          The invention can be used in an audio encoder where sinusoids are used. The  
15       invention relates both to the encoder and the decoder.

          Fig. 1 shows a prior art audio encoder in which an embodiment of the invention is implemented;

20           Fig. 2a illustrates the relationship between phase and frequency in prior art systems;

          Fig. 2b illustrates the relationship between phase and frequency in audio systems according to the present invention;

          Figs. 3a and 3b show a preferred embodiment of a sinusoidal encoder  
25       component of the audio encoder of Fig. 1;

          Fig. 4 shows an audio player in which an embodiment of the invention is implemented; and

          Figs. 5a and 5b show a preferred embodiment of a sinusoidal synthesizer component of the audio player of Fig. 4; and

30           Fig. 6 shows a system comprising an audio encoder and an audio player according to the invention.

Preferred embodiments of the invention will now be described with reference to the accompanying drawings wherein like components have been accorded like reference numerals and, unless otherwise stated, perform like functions. In a preferred embodiment of the present invention, the encoder 1 is a sinusoidal encoder of the type described in  
5 WO 01/69593, Fig. 1. The operation of this prior art encoder and its corresponding decoder has been well described and description is only provided here where relevant to the present invention.

In both the prior art and the preferred embodiment of the present invention, the audio encoder 1 samples an input audio signal at a certain sampling frequency resulting in a  
10 digital representation  $x(t)$  of the audio signal. The encoder 1 then separates the sampled input signal into three components: transient signal components, sustained deterministic components, and sustained stochastic components. The audio encoder 1 comprises a transient encoder 11, a sinusoidal encoder 13 and a noise encoder 14.

The transient encoder 11 comprises a transient detector (TD) 110, a transient  
15 analyzer (TA) 111 and a transient synthesizer (TS) 112. First, the signal  $x(t)$  enters the transient detector 110. This detector 110 estimates if there is a transient signal component and its position. This information is fed to the transient analyzer 111. If the position of a transient signal component is determined, the transient analyzer 111 tries to extract (the main part of) the transient signal component. It matches a shape function to a signal segment  
20 preferably starting at an estimated start position, and determines content underneath the shape function, by employing for example a (small) number of sinusoidal components. This information is contained in the transient code  $C_T$ , and more detailed information on generating the transient code  $C_T$  is provided in WO 01/69593.

The transient code  $C_T$  is furnished to the transient synthesizer 112. The  
25 synthesized transient signal component is subtracted from the input signal  $x(t)$  in subtractor 16, resulting in a signal  $x_1$ . A gain control mechanism GC (12) is used to produce  $x_2$  from  $x_1$ .

The signal  $x_2$  is furnished to the sinusoidal encoder 13 where it is analyzed in a sinusoidal analyzer (SA) 130, which determines the (deterministic) sinusoidal components.  
30 It will therefore be seen that while the presence of the transient analyzer is desirable, it is not necessary and the invention can be implemented without such an analyzer. Alternatively, as mentioned above, the invention can also be implemented with for example a harmonic complex analyzer. In brief, the sinusoidal encoder encodes the input signal  $x_2$  as tracks of sinusoidal components linked from one frame segment to the next.

Referring now to Fig. 3a, in the same manner as in the prior art, in the preferred embodiment, each segment of the input signal  $x_2$  is transformed into the frequency domain in a Fourier transform (FT) unit 40. For each segment, the FT unit provides measured amplitudes  $A$ , phases  $\phi$  and frequencies  $\omega$ . As mentioned previously, the range of phases  
 5 provided by the Fourier transform is restricted to  $-\pi \leq \phi < \pi$ . A tracking algorithm (TA) unit 42 takes the information for each segment and by employing a suitable cost function, links sinusoids from one segment to the next, so producing a sequence of measured phases  $\phi(k)$  and frequencies  $\omega(k)$  for each track.

In contrast to the prior art, the sinusoidal codes  $C_s$  ultimately produced by the  
 10 analyzer 130 include phase information, and frequency is reconstructed from this information in the decoder.

As mentioned above, however, the measured phase is wrapped, which means that it is restricted to a modulo  $2\pi$  representation. Therefore, in the preferred embodiment, the analyzer comprises a phase unwrapper (PU) 44 where the modulo  $2\pi$  phase representation is  
 15 unwrapped to expose the structural inter-frame phase behavior  $\psi$  for a track. As the frequency in sinusoidal tracks is nearly constant, it will be seen that the unwrapped phase  $\psi$  will typically be a nearly linearly increasing (or decreasing) function and this makes cheap transmission of phase, i.e. with low bit rate, possible. The unwrapped phase  $\psi$  is provided as input to a phase encoder (PE) 46 which provides as output quantized representation levels  $r$   
 20 suitable for being transmitted.

Referring now to the operation of the phase unwrapper 44, as mentioned above, instantaneous phase  $\psi$  and instantaneous frequency  $\Omega$  for a track are related by:

$$\psi(t) = \int_{T_0}^t \Omega(\tau) d\tau + \psi(T_0) \quad (1)$$

where  $T_0$  is a reference time instant.

25 A sinusoidal track in frames  $k = K, K+1 \dots K+L-1$  has measured frequencies  $\omega(k)$  (expressed in radians per second) and measured phases  $\phi(k)$  (expressed in radians). The distance between the centers of the frames is given by  $U$  (update rate expressed in seconds). The measured frequencies are supposed to be samples of the assumed underlying continuous-time frequency track  $\Omega$  with  $\omega(k) = \Omega(kU)$  and, similarly, the measured phases  
 30 are samples of the associated continuous-time phase track  $\psi$  with  $\phi(k) = \psi(kU) \bmod (2\pi)$ . For sinusoidal encoding it is assumed that  $\Omega$  is a nearly constant function.



Assuming that the frequencies are nearly constant within a segment Equation 1 can be approximated as follows:

$$\begin{aligned}\psi(kU) &= \int_{(k-1)U}^{kU} \Omega(t) dt + \psi((k-1)U) \\ &\approx \{\omega(k) + \omega(k-1)\}U/2 + \psi((k-1)U)\end{aligned}\quad (2)$$

It will therefore be seen that knowing the phase and frequency for a given segment and the frequency of the next segment, it is possible to estimate an unwrapped phase value for the next segment, and so on for each segment in a track.

In the preferred embodiment, the phase unwrapper determines an unwrap factor  $m(k)$  at time instant  $k$ :

$$\psi(kU) = \phi(k) + m(k)2\pi \quad (3)$$

The unwrap factor  $m(k)$  tells the phase unwrapper the number of cycles which has to be added to obtain the unwrapped phase.

Combining equations 2 and 3, the phase unwrapper determines an incremental unwrap factor  $e(k)$  as follows:

$$2\pi e(k) = 2\pi \{m(k) - m(k-1)\} = \{\omega(k) + \omega(k-1)\}U/2 - \{\phi(k) - \phi(k-1)\}$$

where  $e$  should be an integer. However, due to measurement and model errors, the incremental unwrap factor will not be an integer exactly, so:

$$e(k) = \text{round}([\{\omega(k) + \omega(k-1)\}U/2 - \{\phi(k) - \phi(k-1)\}]/(2\pi))$$

assuming that the model and measurement errors are small.

Having the incremental unwrap factor  $e$ , the  $m(k)$  from equation (3) is calculated as the cumulative sum where, without loss of generality, the phase unwrapper starts in the first frame  $K$  with  $m(K) = 0$ , and from  $m(k)$  and  $\phi(k)$ , the (unwrapped) phase  $\psi(kU)$  is determined.

In practice, the sampled data  $\psi(kU)$  and  $\Omega(kU)$  are distorted by measurement errors:

$$\begin{aligned}\phi(k) &= \psi(kU) + \varepsilon_1(k), \\ \omega(k) &= \Omega(kU) + \varepsilon_2(k),\end{aligned}$$

where  $\varepsilon_1$  and  $\varepsilon_2$  are the phase and frequency errors, respectively. In order to prevent the determination of the unwrap factor becoming ambiguous, the measurement data needs to be determined with sufficient accuracy. Thus, in the preferred embodiment, tracking is restricted so that:

$$\delta(k) = e(k) - [\{\omega(k) + \omega(k-1)\}U/2 - \{\phi(k) - \phi(k-1)\}]/(2\pi) < \delta_0$$

where  $\delta$  is the error in the rounding operation. The error  $\delta$  is mainly determined by the errors in  $\omega$  due to the multiplication with  $U$ . Assume that  $\omega$  is determined from the maxima of the absolute value of the Fourier transform from a sampled version of the input signal with sampling frequency  $F_s$  and that the resolution of the Fourier transform is  $2\pi/L_a$  with  $L_a$  the analysis size. In order to be within the considered bound, we have:

$$\frac{L_a}{U} = \delta_0$$

That means that the analysis size should be few times larger than the update size in order for unwrapping to be accurate, e.g., setting  $\delta_0 = 1/4$ , the analysis size should be four times the update size (neglecting the errors  $\varepsilon_1$  in the phase measurement).

The second precaution which can be taken to avoid decision errors in the round operation is to defining tracks appropriately. In the tracking unit 42, sinusoidal tracks are typically defined by considering amplitude and frequency differences. Additionally, it is also possible to account for phase information in the linking criterion. For instance, we can define the phase prediction error  $\varepsilon$  as the difference between the measured value and the

predicted value  $\tilde{\phi}$  according to

$$\varepsilon = \{\phi(k) - \tilde{\phi}(k)\} \bmod 2\pi$$

where the predicted value can be taken as

$$\tilde{\phi}(k) = \phi(k-1) + \{\omega(k) - \omega(k-1)\}U/2$$

Thus, preferably the tracking unit 42 forbids tracks where  $\varepsilon$  is larger than a certain value (e.g.  $\varepsilon > \pi/2$ ), resulting in an unambiguous definition of  $e(k)$ .

Additionally, the encoder may calculate the phases and frequencies such as will be available in the decoder. If the phases or frequencies which will become available in the decoder differ too much from the phases and/or frequencies such as are present in the encoder, it may be decided to interrupt a track, i.e. to signal the end of a track and start a new one using the current frequency and phase and their linked sinusoidal data.

The sampled unwrapped phase  $\psi(kU)$  produced by the phase unwrapper (PU) 44 is provided as input to phase encoder (PE) 46 to produce the set of representation levels  $r$ . Techniques for efficient transmission of a generally monotonically changing characteristic such as the unwrapped phase are known. In the preferred embodiment, Fig. 3b, Adaptive Differential Pulse Code Modulation (ADPCM) is employed. Here, a predictor (PF) 48 is used to estimate the phase of the next track segment and encode the difference

only in a quantizer (Q) 50. Since  $\psi$  is expected to be a nearly linear function and for reasons of simplicity, the predictor 48 is chosen as a second-order filter of the form:

$$y(k+1) = 2x(k) - x(k-1)$$

where  $x$  is the input and  $y$  is the output. It will be seen, however, that it is also possible to take other functional relations (including higher-order relations) and to include adaptive (backward or forward) adaptation of the filter coefficients. In the preferred embodiment, a backward adaptive control mechanism (QC) 52 is used for simplicity to control the quantized 50. Forward adaptive control is also possible as well but would require extra bit rate overhead.

As will be seen, initialization of the encoder (and decoder) for a track starts with knowledge of the start phase  $\phi(0)$  and frequency  $\omega(0)$ . These are quantized and transmitted by a separate mechanism. Additionally, the initial quantization step used in the quantization controller 52 of the encoder and the corresponding controller 62 in the decoder, Fig. 5b, is either transmitted or set to a certain value in both encoder and decoder. Finally, the end of a track can either be signaled in a separate side stream or as a unique symbol in the bit stream of the phases.

The start frequency of the unwrapped phase is known, both in the encoder and in the decoder. On basis of this frequency, the quantization accuracy is chosen. For the unwrapped phase trajectories beginning with a low frequency, a more accurate quantization grid, i.e. a higher resolution, is chosen than for an unwrapped phase trajectory beginning with a higher frequency.

In the ADPCM quantized, the unwrapped phase  $\psi(k)$ , where  $k$  represents the number in the track, is predicted/estimated from the preceding phases in the track. The difference between the predicted phase  $\tilde{\psi}(k)$  and the unwrapped phase  $\psi(k)$  is then quantized and transmitted. The quantized is adapted for every unwrapped phase in the track. When the prediction error is small, the quantized limits the range of possible values and the quantization can become more accurate. On the other hand, when the prediction error is large, the quantized uses a coarser quantization.

The quantized Q (in Fig. 3b) quantizes the prediction error  $\Delta$ , which is calculated by

$$\Delta(k) = \psi(k) - \tilde{\psi}(k)$$

The prediction error  $\Delta$  can be quantized using a look-up table. For this purpose, a table  $Q$  is maintained. For example, for a 2-bit ADPCM quantized, the initial table for  $Q$  may look like the table shown in Table 1.

Index $i$	Lower boundaries $bl$	Upper boundary $bu$
0	$-\infty$	-3.0
1	-3.0	0
2	0	3.0
3	3.0	$\infty$

Table 1: Quantization table  $Q$  used for first continuation.

The quantization is done as follows. The prediction error  $\Delta$  is compared to the boundaries  $b$ , such that the following equation is satisfied:

$$bl_i < \Delta \leq bu_i$$

From the value of  $i$ , that satisfies the above relation, the representation level  $r$  is computed by  $r = i$ .

The associated representation levels are stored in representation table  $R$ , which is shown in Table 2.

Representation level $r$	Representation table $R$	Level type
0	-3.0	Outer level
1	-0.75	Inner level
2	0.75	Inner level
3	3.0	Outer level

The entries of tables  $Q$  and  $R$  are multiplied by factor  $c$  for the quantization of the next sinusoidal component in the track.

$$Q(k+1) = Q(k) \cdot c$$

$$R(k+1) = R(k) \cdot c$$

During the decoding of a track, both tables are scaled according to the generated representation levels  $r$ . If  $r$  is either 1 or 2 (inner level) for the current sub-frame, then the scale factor  $c$  for the quantization table is set to  $c = 2^{-1/4}$ .

Since  $c < 1$ , the frequency and phase of the next sinusoid in a track becomes more accurate. If  $r$  is 0 or 3 (outer level), the scale factor is set to  $c = 2^{1/2}$ .

Since  $c > 1$ , the quantization accuracy for the next sinusoid in a track decreases. Using these factors, one up-scaling can be made undone by two down-scalings. The difference in upscale and downscale factors results in a fast onset of an upscaling, whereas a corresponding downscaling requires two steps.

In order to avoid very small or very large entries in the quantization table, the adaptation is only done if the absolute value of the inner level is between  $\pi/64$  and  $3\pi/4$ . In that case  $c$  is set to 1.

In the decoder only table R has to be maintained to convert to received representation levels  $r$  to a quantized prediction error. This de-quantization operation is performed by block DQ in Fig. 5b.

Using the above settings, the quality of the reconstructed sound needs improvement. In accordance with the invention, different initial tables for unwrapped phase tracks, depending on the start frequency, are used. Hereby a better sound quality is obtained. This is done as follows. The initial tables Q and R are scaled on basis a first frequency of the track. In Table 3, the scale factors are given together with the frequency ranges. If the first frequency of a track lies in a certain frequency range, the appropriate scale factor is selected, and the tables R and Q are divided by that scale factor. The end-points can also depend on the first frequency of the track. In the decoder, a corresponding procedure is performed in order to start with the correct initial table R.

Frequency range	Scale factor	Initial table Q	Initial table R
0 - 500 Hz	8	$-\infty -0.19 \ 0 \ 0.19 \ \infty$	$-0.38 -0.09 \ 0.09 \ 0.38$
500 - 1000 Hz	4	$-\infty -0.37 \ 0 \ 0.37 \ \infty$	$-0.75 -0.19 \ 0.19 \ 0.75$
1000 - 4000 Hz	2	$-\infty -0.75 \ 0 \ 0.75 \ \infty$	$-1.5 -0.38 \ 0.38 \ 1.5$
4000 - 22050 Hz	1	$-\infty -1.5 \ 0 \ 1.5 \ \infty$	$-3 -0.75 \ 0.75 \ 3$

Table 3: Frequency dependent scale factors and initial tables

Table 3 shows an example of frequency dependent scale factors and corresponding initial tables Q and R for a 2-bit ADPCM quantized. The audio frequency range 0-22050 Hz is divided into four frequency sub-ranges. It is seen that the phase accuracy is improved in the lower frequency ranges relative to the higher frequency ranges.

The number of frequency sub-ranges and the frequency dependent scale factors may vary and can be chosen to fit the individual purpose and requirements. Like described above, the frequency dependent initial tables Q and R in table 3 may be up-scaled and down-scaled dynamically to adapt to the evolution in phase from one time segment to the next.

In e.g. a 3-bit ADPCM quantized, the initial boundaries of the eight quantization intervals defined by the 3 bits can be defined as follows:

$Q = \{-\infty -1.41 -0.707 -0.35 0 0.35 0.707 1.41 \infty\}$ , and can have minimum grid size  $\pi/64$ , and a maximum grid size  $\pi/2$ . The representation table R may look like:

$R = \{-2.117, -1.0585, -0.5285, -0.1750, 0.1750, 0.5285, 1.0585, 2.117\}$ . A

similar frequency dependent initialization of the table Q and R as shown in Table 3 may be used in this case.

From the sinusoidal code  $C_S$  generated with the sinusoidal encoder, the sinusoidal signal component is reconstructed by a sinusoidal synthesizer (SS) 131 in the same manner as will be described for the sinusoidal synthesizer (SS) 32 of the decoder. This signal is subtracted in subtractor 17 from the input  $x_2$  to the sinusoidal encoder 13, resulting in a remaining signal  $x_3$ . The residual signal  $x_3$  produced by the sinusoidal encoder 13 is passed to the noise analyzer 14 of the preferred embodiment which produces a noise code  $C_N$  representative of this noise, as described in, for example, international patent application No. PCT/EP00/04599.

Finally, in a multiplexer 15, an audio stream AS is constituted which includes the codes  $C_T$ ,  $C_S$  and  $C_N$ . The audio stream AS is furnished to e.g. a data bus, an antenna system, a storage medium etc.

Fig. 4 shows an audio player 3 suitable for decoding an audio stream AS', e.g. generated by an encoder 1 of Fig. 1, obtained from a data bus, antenna system, storage medium etc. The audio stream AS' is de-multiplexed in a de-multiplexer 30 to obtain the codes  $C_T$ ,  $C_S$  and  $C_N$ . These codes are furnished to a transient synthesizer 31, a sinusoidal synthesizer 32 and a noise synthesizer 33 respectively. From the transient code  $C_T$ , the transient signal components are calculated in the transient synthesizer 31. In case the transient code indicates a shape function, the shape is calculated based on the received

parameters. Further, the shape content is calculated based on the frequencies and amplitudes of the sinusoidal components. If the transient code  $C_T$  indicates a step, then no transient is calculated. The total transient signal  $y_T$  is a sum of all transients.

The sinusoidal code  $C_S$  including the information encoded by the analyzer 130 is used by the sinusoidal synthesizer 32 to generate signal  $y_S$ . Referring now to Figs. 5a and b, the sinusoidal synthesizer 32 comprises a phase decoder (PD) 56 compatible with the phase encoder 46. Here, a de-quantized (DQ) 60 in conjunction with a second-order prediction filter (PF) 64 produces (an estimate of) the unwrapped phase  $\hat{\psi}$  from: the representation levels  $r$ ; initial information  $\hat{\phi}(0)$ ,  $\hat{\omega}(0)$  provided to the prediction filter (PF) 64 and the initial quantization step for the quantization controller (QC) 62.

As illustrated in Fig. 2b, the frequency can be recovered from the unwrapped phase  $\hat{\psi}$  by differentiation. Assuming that the phase error at the decoder is approximately white and since differentiation amplifies the high frequencies, the differentiation can be combined with a low-pass filter to reduce the noise and, thus, to obtain an accurate estimate of the frequency at the decoder.

In the preferred embodiment, a filtering unit (FR) 58 approximates the differentiation which is necessary to obtain the frequency  $\hat{\omega}$  from the unwrapped phase by procedures as forward, backward or central differences. This enables the decoder to produce as output the phases  $\hat{\psi}$  and frequencies  $\hat{\omega}$  usable in a conventional manner to synthesize the sinusoidal component of the encoded signal.

At the same time, as the sinusoidal components of the signal are being synthesized, the noise code  $C_N$  is fed to a noise synthesizer NS 33, which is mainly a filter, having a frequency response approximating the spectrum of the noise. The NS 33 generates reconstructed noise  $y_N$  by filtering a white noise signal with the noise code  $C_N$ . The total signal  $y(t)$  comprises the sum of the transient signal  $y_T$  and the product of any amplitude decompression ( $g$ ) and the sum of the sinusoidal signal  $y_S$  and the noise signal  $y_N$ . The audio player comprises two adders 36 and 37 to sum respective signals. The total signal is furnished to an output unit 35, which is e.g. a speaker.

Fig. 6 shows an audio system according to the invention comprising an audio encoder 1 as shown in Fig. 1 and an audio player 3 as shown in Fig. 4. Such a system offers playing and recording features. The audio stream AS is furnished from the audio encoder to the audio player over a communication channel 2, which may be a wireless connection, a data bus or a storage medium. In case the communication channel 2 is a storage medium,

the storage medium may be fixed in the system or may also be a removable disc, memory stick etc. The communication channel 2 may be part of the audio system, but will however often be outside the audio system.

The coded data from several consecutive segments are linked. This is done as follows. For each segment a number of sinusoids are determined (for example using an FFT). A sinusoid consists of a frequency, amplitude and phase. The number of sinusoids is variable per segment. Once the sinusoids are determined for a segment, an analysis is done to connect to sinusoids from the previous segment. This is called 'linking' or 'tracking'. The analysis is based on the difference between a sinusoid of the current segment and all sinusoids from the previous segment. A link/track is made with the sinusoid in the previous segment that has the smallest difference. If even the smallest difference is larger than a certain threshold value, no connection to sinusoids of the previous segment is made. In this way a new sinusoid is created or "born".

The difference between sinusoids is determined using a 'cost function', which uses the frequency, amplitude and phase of the sinusoids. This analysis is performed for each segment. The result is a large number of tracks for an audio signal. A track has a birth, which is a sinusoid that has no connection with sinusoids from the previous segment. A birth sinusoid is encoded non-differentially. Sinusoids that are connected to sinusoids from previous segments are called continuations and they are encoded differentially with respect to the sinusoids from the previous segment. This saves a lot of bits, since only differences are encoded and not absolute values.

If  $f(n-1)$  is the frequency from a sinusoid from the previous segment and  $f(n)$  is a connected sinusoid from the current segment, then  $f(n) - f(n-1)$  is transmitted to the decoder. The number  $n$  represents the number in the track,  $n=1$  is the birth,  $n=2$  is the first continuations etc. The same is true for the amplitudes. The phase value of the initial sinusoid (= birth sinusoid) is transmitted, whereas for a continuation, no phase is transmitted, but the phase can be retrieved from the frequencies. If a track has no continuation in the next segment, the track ends or "dies".



## CLAIMS:

1. A method of encoding a signal, the method comprising the steps of:
  - providing a respective set of sampled signal values for each of a plurality of sequential segments;
  - analyzing the sampled signal values to determine one or more sinusoidal components for each of the plurality of sequential segments, each sinusoidal component including a frequency and a phase value;
  - linking sinusoidal components across a plurality of sequential segments to provide sinusoidal tracks;
  - determining, for each sinusoidal track in each of the plurality of sequential segments, a predicted phase value as a function of phase value for at least a previous segment;
  - determining, for each sinusoidal track, a measured phase value comprising a generally monotonically changing value;
  - quartering sinusoidal codes as a function of the predicted value for the phase and the measured phase for the segment where the sinusoidal codes are quintile in dependence on at least one frequency value of the respective sinusoidal track; and
  - generating an encoded signal including sinusoidal codes representing the frequency and the phase and linking information.
2. A method according to claim 1 wherein in a first sinusoidal track including a first sinusoidal component with a first frequency value the sinusoidal codes are quintile using a first quantization accuracy, and in a second sinusoidal track including a second sinusoidal component with a second frequency value higher than the first frequency value, the sinusoidal codes are quintile using a second quantization accuracy lower than or equal to the first quantization accuracy.
3. A method according to claim 1 wherein the sinusoidal codes for a track include an initial phase and an initial frequency, and the predicting step employs the initial frequency and the initial phase to provide a first prediction.

4. A method according to claim 1 wherein the phase value of each linked segment is determined as a function of: the integral of the frequency for the previous segment and the frequency of the linked segment; and the phase of a previous segment wherein the sinusoidal components include a phase value in the range  $\{-\pi;\pi\}$ .

5. A method according to claim 1 wherein the quartering of the sinusoidal codes includes determining a phase difference between each predicted phase value and the corresponding observed phase value;

6. A method according to claim 4 wherein the generating step comprises controlling the quantizing step as a function of the quantized sinusoidal codes.

7. A method according to claim 6 wherein the sinusoidal codes include an indicator of the end of a track.

8. A method according to claim 1 wherein the method further comprises the steps of:

- synthesizing the sinusoidal components using the sinusoidal codes;
- subtracting the synthesized signal values from the sampled signal values to provide a set of values representing a remainder component of the audio signal;
- modeling the remainder component of the audio signal by determining parameters, approximating the remainder component; and
- including the parameters in an audio stream.

9. A method according to claim 1 wherein the sampled signal values represent an audio signal from which transient components have been removed.

10. A method of decoding an audio stream, the method comprising the steps of:

- receiving an encoded signal including sinusoidal codes representing the frequency and the phase and linking information;
- de-quartering sinusoidal codes thereby obtaining a unwrapped de-quintile phase, where the sinusoidal codes are de-quintile in dependence on at least one frequency value of the respective sinusoidal track;

- calculating the frequency from the de-quintile unwrapped phase values, and
- employing said de-quintile frequency and phase to synthesize said sinusoidal components of said audio signal.

5 11. A method according to claim 10 wherein in a first sinusoidal track including a first sinusoidal component with a first frequency value the sinusoidal codes are de-quintile using a first quantization accuracy, and in a second sinusoidal track including a second sinusoidal component with a second frequency value higher than the first frequency value, the sinusoidal codes are de-quintile using a second quantization accuracy lower than or equal  
10 to the first quantization accuracy.

12. A method according to claim 10 wherein the sinusoidal codes for a track include an initial phase and an initial frequency, and the predicting step employs the initial frequency and the initial phase to provide a first prediction.  
15

13. A method according to claim 10 wherein the phase value of each linked sinusoidal component is determined as a function of: the integral of the frequency for the previous segment and the frequency of the linked segment; the phase of a previous segment, and wherein the sinusoidal components include a phase value in the range  $\{-\pi; \pi\}$ .  
20

14. A method according to claim 13 wherein the generating step comprises: controlling the quantizing accuracy as a function of the quantized sinusoidal codes.

15. Audio encoder arranged to process a respective set of sampled signal values  
25 for each of a plurality of sequential segments, said coder comprising;

- an analyzer for analyzing the sampled signal values to determine one or more sinusoidal components for each of the plurality of sequential segments, each sinusoidal component including a frequency and a phase value;
- a linker for linking sinusoidal components across a plurality of sequential  
30 segments to provide sinusoidal tracks;
- a phase unwrapper for determining, for each sinusoidal track in each of the plurality of sequential segments, a predicted phase value as a function of phase value for at least a previous segment and for determining, for each sinusoidal track, a measured phase value comprising a generally monotonically changing value;

- a de-quantizer for de-quartering sinusoidal codes as a function of the predicted value for the phase and the measured phase for the segment where the sinusoidal codes are de-quintile in dependence on at least one frequency value of the respective sinusoidal track; and

- 5                   - means for providing a encoded signal including sinusoidal codes representing the frequency and the phase.

16.               Audio player comprising:

- 10               - means for reading an encoded audio signal including sinusoidal codes representing a frequency and a phase for each track of linked sinusoidal components,  
                  - a de-quantizer for generating phase values and for generating frequency values from said phase values; and  
                  - a synthesizer arranged to employ said generated phase and frequency values to synthesize said sinusoidal components of said audio signal.

15

17.               Audio system comprising an audio encoder as claimed in claim 15 and an audio player as claimed in claim 16.

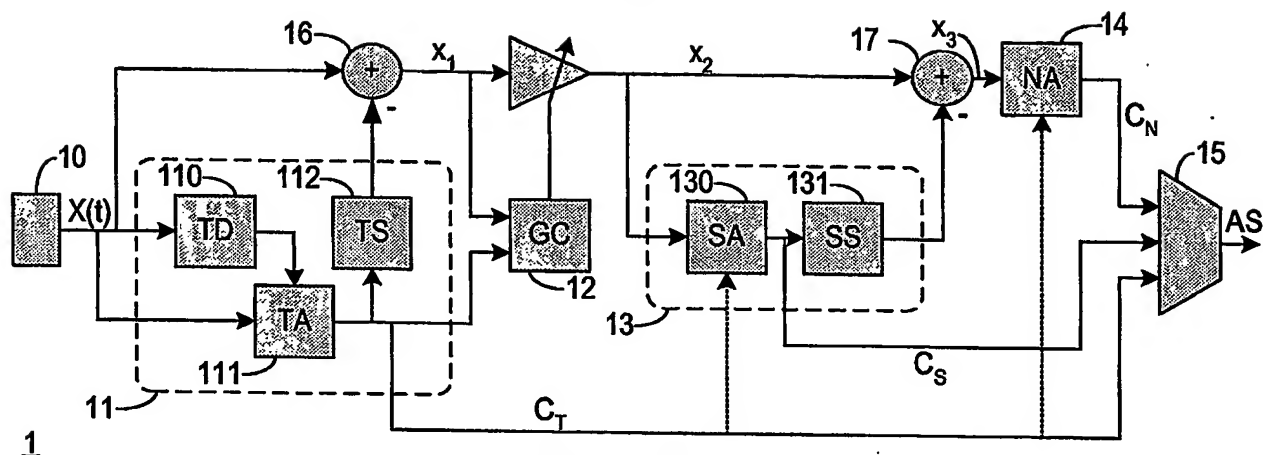
18.               Audio stream comprising sinusoidal codes representing tracks of linked  
20               sinusoidal components across a plurality of sequential segments of an audio signal, said codes representing a predicted phase value as a function of phase value for at least a previous segment a measured phase value comprising a generally monotonically changing value.

19.               Storage medium on which an audio stream as claimed in claim 18 has been  
25               stored.

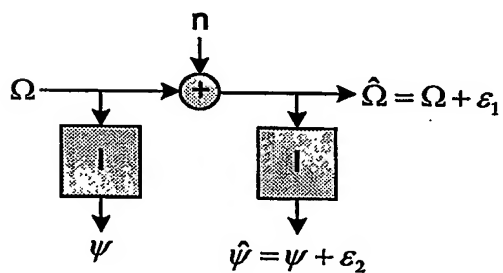
**ABSTRACT:**

In a sinusoidal audio encoder a number of sinusoids are estimated per audio segment. A sinusoid is represented by frequency, amplitude and phase. Normally, phase is quantized independent of frequency. The invention uses a frequency dependent quantization of phase, and in particular the low frequencies are quantized using smaller quantization intervals than at higher frequencies. Thus, the unwrapped phases of the lower frequencies are quantized more accurately, possibly with a smaller quantization range, than the phases of the higher frequencies. The invention gives a significant improvement in decoded signal quality, especially for low bit-rate quantizers.

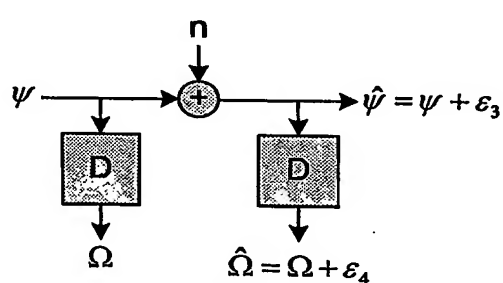
10 Fig. 3a

$\frac{1}{2}$ 

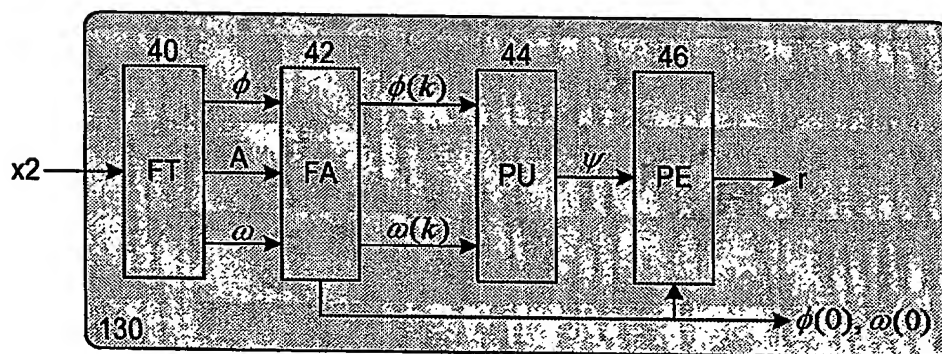
**Fig. 1 - PRIOR ART**



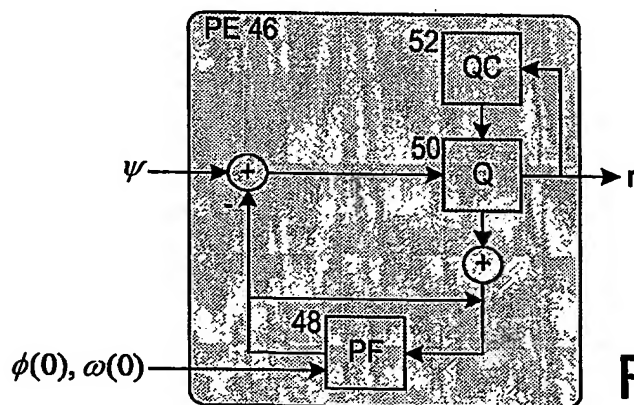
**Fig. 2a**



**Fig. 2b**



**Fig. 3a**



**Fig. 3b**

2/2

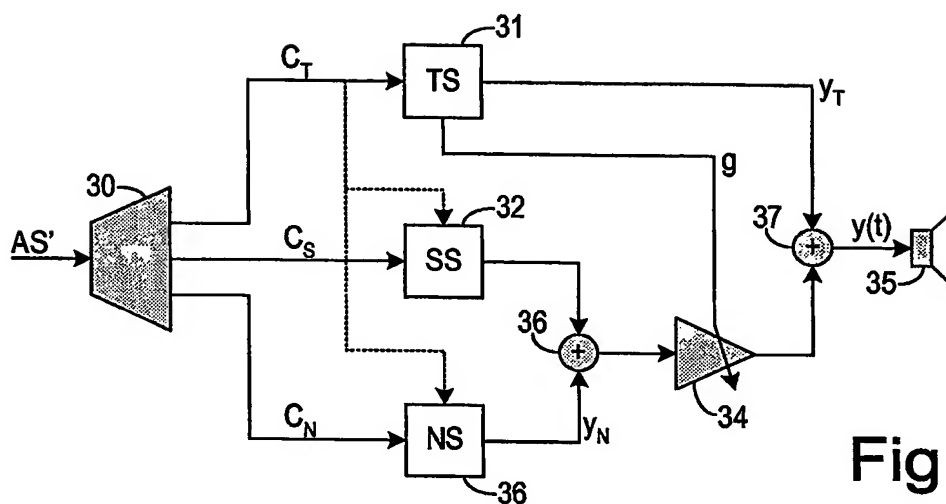


Fig. 4

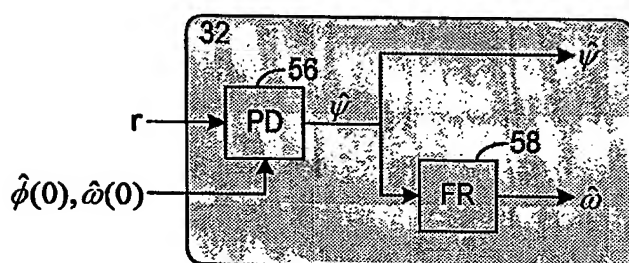


Fig. 5a

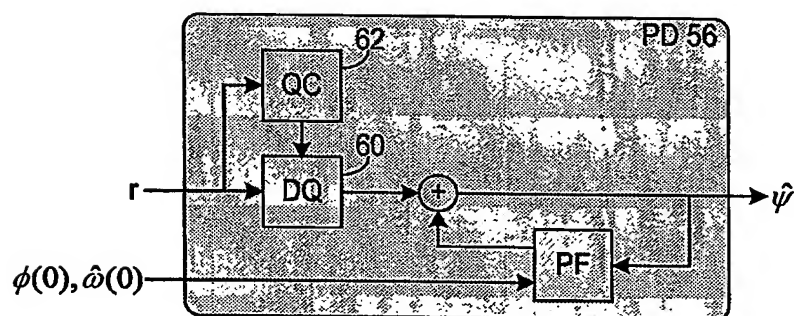


Fig. 5b

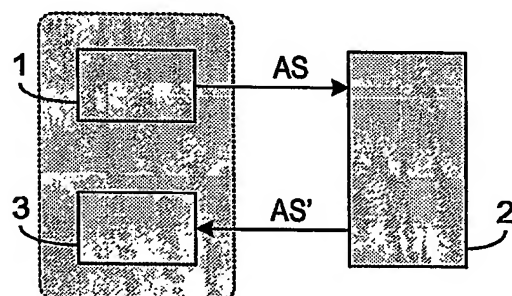


Fig. 6

PCT/IB2004/051172





**This Page is Inserted by IFW Indexing and Scanning  
Operations and is not part of the Official Record**

**BEST AVAILABLE IMAGES**

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images include but are not limited to the items checked:

- ☐ BLACK BORDERS
- ☐ IMAGE CUT OFF AT TOP, BOTTOM OR SIDES
- ☒ FADED TEXT OR DRAWING
- ☒ BLURRED OR ILLEGIBLE TEXT OR DRAWING
- ☐ SKEWED/SLANTED IMAGES
- ☐ COLOR OR BLACK AND WHITE PHOTOGRAPHS
- ☐ GRAY SCALE DOCUMENTS
- ☐ LINES OR MARKS ON ORIGINAL DOCUMENT
- ☒ REFERENCE(S) OR EXHIBIT(S) SUBMITTED ARE POOR QUALITY
- ☐ OTHER: \_\_\_\_\_

**IMAGES ARE BEST AVAILABLE COPY.**

**As rescanning these documents will not correct the image problems checked, please do not report these problems to the IFW Image Problem Mailbox.**